



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,647	04/18/2001	William Ernest Albom JR.	X-13168

CONFIRMATION NO. 9595

## FORMALITIES LETTER



\*OC000000006105628\*

Charles E. Cohen  
Eli Lilly and Company  
Lilly Corporate Center  
Patent Division DC: 1104  
Indianapolis, IN 46285

Date Mailed: 05/23/2001

due 7-23-01

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

RECEIVED  
JUL 24 2001  
ELI LILLY & CO  
PATENT DIVISION09/833,647 00000080 050840 09833647  
100.00 CR



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.  
ELI LILLY AND COMPANY

By Kimberly M. Dunbar

Date July 19, 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William Ernest Alborn, Jr. )  
Serial No. : 09/833,647 )  
Filed : April 18, 2001 )  
For : Process for Preparing )  
Lipid II )  
Docket No. : X-13168 )

TRANSMITTAL OF DECLARATION UNDER 37 C.F.R. 1.51 (a)(1)(ii)  
RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

This is in response to a "Notice to File Missing Parts of Application" dated May 23, 2001, noting the absence of a declaration from the papers filed with the above-identified application.

Enclosed herewith are: 1) a copy of the Notice and 2) a Declaration under 37 C.F.R. 1.51(a)(1)(ii) by the Applicant(s) in this application.

Please charge Deposit Account No. 05-0840 in the amount of \$130 for the surcharge required by §1.16(e). The Assistant Commissioner is hereby authorized to charge any additional fees which may be required by this Response, or

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credit any overpayment, to Deposit Account No. 05-0840. An original and two copies of this paper are enclosed.

Respectfully submitted,

*Tina M. Tucker*

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9 July 2001